

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AD Rom

in re application of:

John S. HENDRICKS et al.

Atty. Docket No. 026880-00024

Serial No.: 09/289,957

Examiner: John M. Winter

Filed: April 13, 1999

Art Unit: 3621

For: ELECTRONIC BOOK ALTERNATIVE DELIVERY SYSTEMS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: September 28, 2006

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

	 This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
\boxtimes	 This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
	a Lhereby certify that each item of information contained in this

a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

b. I hereby certify that no item of information in this Information
Disclosure Statement was cited in a communication from a foreign patent
office in a counterpart foreign application or, to my knowledge after making
reasonable inquiry, was known to any individual designated in 37 CFR
§1.56(c) more than three months prior to the filling of this information
Disclosure Statement. 37 CFR §1.97(e)(2).

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 \boxtimes A check in the amount of \$180.00 in payment of the fee under 37 C. CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information. This Information Disclosure Statement is being filed more than three months 3. after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information. I hereby certify that each item of information contained in this П Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1). I hereby certify that no item of information in this Information П Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2). The references were cited in corresponding foreign applications and related \boxtimes U.S. and foreign applications. Copies of the foreign patent documents and the publications are on the \boxtimes attached CD. In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty.

Respectfully submitted,

Charles M. Marmelstein Registration No. 25,895

Customer No. 004372 ARENT FOX PLLC 1050 Connecticut Avenue, N.W., Suite 400 Washington, D.C. 20036-5339

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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Wreford-Howard

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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	INITO	DESCRION DIS	201	OCUBE	Application N	umber	09/289,957		
1		RMATION DIS					April 13, 19		
	STATEMENT BY APPLICANT Form PTO/SB/08a					nventor		NDRICKS et al.	
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Substitute for form 1449A/PTO Complete if Known **Application Number** 09/289,957 INFORMATION DISCLOSURE Filing Date April 13, 1999 STATEMENT BY APPLICANT First Named Inventor John S. HENDRICKS et al. Form PTO/SB/08a Art Unit 3621 John M. Winter **Examiner Name** 3 28 026880-00024 of **Attorney Docket Number** Sheet **U.S. PATENT DOCUMENTS** Examiner Cite Number-Kind Publication Date Name of Patentee or Pages, Columns, Lines, Where Relevant **Document Number**

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		RMATION DIS			Filing Date		April 13, 1999		
	STATEMENT BY APPLICANT					First Named Inventor		John S. HENDRICKS et al.	
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nitials*	No.¹	Document Number	Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear
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	Substitute for form 1449A/PTO				Complete if Known			
	INFORMATION DISCLOSURE					umber	09/289,957	
						Filing Date		April 13, 1999
	STA	TEMENT BY A	\PP	LICANT	First Named I	nventor	John S. HENDRICKS et al.	
		Form PTO/SI	3/08a	1	Art Unit		3621	
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Examiner Initials*	Cite No.1	Document Num	ber	Number-Kind Code ² (if known)				Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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		Application		Application Number	09/289,957			
	IFORMATIC			Filing Date	April 13, 19	99		
S	TATEMENT	T BY APP	LICANT	First Named Inventor	John S. HE	John S. HENDRICKS et al.		
	Form	PTO/SB/08a	1	Art Unit	3621			
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 09/289,957 INFORMATION DISCLOSURE Filing Date April 13, 1999 STATEMENT BY APPLICANT John S. HENDRICKS et al. First Named Inventor Form PTO/SB/08a Art Unit 3621 **Examiner Name** John M. Winter 026880-00024 Sheet 9 of 28 Attorney Docket Number **U.S. PATENT DOCUMENTS** Number-Kind Publication Date Name of Patentee or Pages, Columns, Lines, Where Relevant Examiner Cite **Document Number** Code² (if known) Applicant of Cited Document MM-DD-YYYY Passages or Relevant Figures Appear Initials' 5,624,265 4/1997 Redford et al. /JW/ 4/1997 Levine et al. 5,625,833 Smith et al. 5,630,103 n/a 5/1997 Zellweger 5,630,125 5/20/1997 Wunderlich et al. 5,631,693 5/1997 Warren et al. 5,632,022 Warnock et al. 5,634,064 6/3/1997 Tett 5,635,918 5,636,036 06-1997 Ashbey, James A. Wellner 6/1997 5,640,193 6/17/1997 Behrens et al. 5,640,196 7/1/1997 Thompson et al. 5,644,354 07-1997 Copriviza et al. 5,646,675 8/1997 Lett et al. 5,657,414 5,661,516 8/26/1997 Carles 9/2/1997 Morales 5,663,757 9/1997 Wojoik et al. 5,666,493 Ludwig et al. 5,689,641 11/1997 Diaz et al. 5,689,648 11/18/1997 Williams 5,689,663 12/9/1997 Peters et al. 5,696,906 Tanigawa et al. 5,696,982 Huffman et al. 12/1997 5.697.793 Chelliah et al. 5,710,887 1/1998 2/1/1998 Westrope et al. 5,721,832 2/24/1998 Martin, et al. 5,721,956 03-1998 Bro, L. William 5,722,418 Freeman et al. 3/3/1996 5,724,091 3/1998 Dedrick 5,724,521 03/10/1998 Krikorian 5,726,909 3/10/1998 Dillon 5,727,065 Saigh 3/1998 5,734,891 4/7/1998 Case 5,737,725 4/1998 Vishlitzky et al. 5,737,747 Ohara et al. 04-1998 5,739,814

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		ATION DISCL		Filing Date April 13, 1999		99		
	STATEMENT BY APPLICANT Form PTO/SB/08a			First Named In	ventor	John S. HE	HENDRICKS et al.	
				Art Unit		3621		
				Examiner Nan	ne	John M. Wi	nter	
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			U.S. PA	ATENT DOCU	MENTS	1		
Examiner Initials*	Cite No.1	Document Number	Number-Kind Code ² (if known)	Publication Date		f Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

Examiner Initials*	Cite No.¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	INFORMATION DISCLOSURE						umber	09/289,957	
						Filing Date		April 13, 19	
	STA	TEMENT BY			ANT	First Named I	nventor	John S. HE	NDRICKS et al.
		Form PTO/S	SB/08a	3		Art Unit		3621	
						Examiner Nar	ne	John M. Wi	inter
Sheet		12	of	28		Attorney Dock	et Number	026880-00	024
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Examiner Initials*	Cite No.1	Document Nu	mber		Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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			ute for form 1449A/PTO						Complete if			
						LIDE	Application No	umber	09/289,957			
			DRMATION DIS				Filing Date		April 13, 1999			
		STA	TEMENT BY A			ANT	First Named I	nventor	John S. HENDRICKS et al.			
			Form PTO/SE	3/08a	ı		Art Unit		3621			
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Exa	miner	Cite No.1	Document Numb	oer		Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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				Filing Date	April 13, 1999
	STATEMENT BY	APP	LICANT	First Named Inventor	John S. HENDRICKS et al.
	Form PTO/S	B/08a	l	Art Unit	3621
		Examiner Name	John M. Winter		
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				Application Number	09/289,957
	NFORMATION			Filing Date	April 13, 1999
5	STATEMENT E	BY APPI	LICANT	First Named Inventor	John S. HENDRICKS et al.
	Form PT	O/SB/08a	l	Art Unit	3621
				Examiner Name	John M. Winter
Sheet	15	of	28	Attorney Docket Number	026880-00024

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	NFORMATION			Filing Date	April 13, 1999	
S	STATEMENT B	SY APPI	LICANT	First Named Inventor	John S. HENDRICKS et al.	
	Form PT0	O/SB/08a	1	Art Unit	3621	
				Examiner Name	John M. Winter	
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				Filing Date	April 13, 1999		
STATEMENT BY APPLICANT				First Named Inventor	John S. HENDRICKS et al.		
	Form PTO/S	B/08a	1	Art Unit	3621		
				Examiner Name	John M. Winter		
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	NFORMATION D			Filing Date	April 13, 1999	
STATEMENT BY APPLICANT				First Named Inventor	John S. HENDRICKS et al.	
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				Examiner Name	John M. Winter	
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	First Named Inventor	John S. HENDRICKS et al.					
	Art Unit	3621					
	Examiner Name	John M. Winter					
	Attorney Docket Number	026880-00024					

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Sheet 21 of 28 Attorney Docket Number 026880-00024	Sheet 21 of 28		Attorney Docket Number	026880-00024		

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/John Winter/

Date Considered 03/03/2010

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